

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF NORTH CAROLINA  
Case No. 5:18-cv-00571**

Genworth Life and Annuity Insurance Company )  
Plaintiff(s), )  
vs )  
Susan C. Sorrells and Donald J. Timmons )  
Defendant(s). )

**DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A  
DIRECT FINANCIAL INTEREST IN LITIGATION**

Pursuant to Fed.R.Civ.P. 7.1 and Local Civil Rule 7.3, or Fed.R.Crim.P. 12.4 and Local Criminal Rule 12.3,

Genworth Life and Annuity Insurance Company who is Plaintiff,  
(name of party) (plaintiff/defendant/other: \_\_\_\_\_)

makes the following disclosure:

1. Is party a publicly held corporation or other publicly held entity?

YES ☐

NO ☒

2. Does party have any parent corporations?

YES ☒

NO ☐

If yes, identify all parent corporation, including grandparent and great-grandparent corporations:

Plaintiff is owned by Genworth Life Insurance Co., which is owned by Genworth North America Corp., which is owned by Genworth Holdings, Inc., which is owned by Genworth Financial, Inc.

3. Is 10% or more of the stock of a party owned by a publicly held corporation or other publicly held entity?

YES ☐

NO ☒

If yes, identify all such owners:

4. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation (Local Civil Rule 7.3 or Local Criminal Rule 12.3)?

YES ☐

NO ☒

If yes, identify entity and nature of interest:

5. Is party a trade association?

YES ☐

NO ☒

If yes, identify all members of the association, their parent corporations, and any publicly held companies that own 10% or more of a member's stock:

6. If case arises out of a bankruptcy proceeding, identify any trustee and the members of any creditors's committee:

N/A

Signature: /s/ Jessica L. Green

Date: 11/30/2018

Finalize Form

Reset Form